



EXAMINATIONS RISK ASSESSMENT AND CONTINGENCY PLAN Centre 17632

Risk	Risk Level	Likelihood of Risk	Control Measures	Emergency/Contingency Plan
Fire Alarm/Security breach in school	Low	Low	Candidates and invigilators are aware of alarm sound. All invigilators have a mobile phone which they can use in case of emergency.	Invigilators are aware of Emergency Evacuation Procedure and it is in the exam room folder. Evacuate if necessary according to these procedures for fire and only if directed by Head of Examinations or SLT for security breach. If the breach occurs in the exam room contact Examinations Office or SLT.
Invigilator missing	Low	Low	Invigilators have a schedule and phone/email contact details. Always have one spare invigilator per room to allow for breaks.	Use the extra invigilator to correct the ratio, members of the Exams team and SLT can assist with relief breaks.
Invigilator misses training or DBS lapses	Low	Low	Ensure a record is kept of training sessions and attendance.	Re-apply for DBS and train invigilator if time allows.
Insufficient invigilators on the day of an exams	Low	Low	We have a pool of 30+ invigilators who can be called upon at the last minute	A member of the Exams Team steps in to invigilate whilst the Head of Exams endeavours to find a replacement from the pool of invigilators.
A non-qualified teacher marks NEAs and/or verifies CA components	Low	Low	All HoDs will know which teachers are not qualified and have been told that they must not use them for this purpose.	Deputy Head (Academic) will liaise with the relevant HoD and ensure the relevant pieces of work are remarked by an appropriate member of staff.
Candidate unwell	Low	Low	Exams Office and Surgery contact details are in the exam room folder.	Contact Exams Office or surgery and use extra invigilator as necessary. Head of Examinations will follow JCQ and awarding body guidelines for Special Consideration.
Weather/Transport problems	Low	Low	Candidates and parents know the school telephone contact details. Emergency weather notices are posted on the school website.	Head of Examinations will follow JCQ and awarding body guidelines.

Risk	Risk Level	Likelihood of Risk	Control Measures	Emergency/Contingency Plan
Candidate fails to turn up/turns up late	Low	Low	Candidates and parents have two hard copies of their personalised timetables and a general timetable is available on the school website.	A member of the Examinations team will contact the candidate and follow JCQ and awarding body guidelines.
Cheating/Disruption in the room	Low	Low	Candidates have received the exam regulations and signed a declaration form to say they have read and will abide by JCQ and awarding body regulations.	Invigilators are aware of the centre's malpractice policy. Contact the Head of Examinations or SLT immediately and the matter will be dealt with following JCQ, awarding board and centre policy.
System failure or power cut	Low	Low	Back up generator	IT and maintenance support available through Exams Office and Head of Examinations will follow JCQ and awarding body guidelines for Special Consideration.
Exam room becomes unavailable for any reason e.g. fire, bomb scare	Low	Low		School and associated prep schools have other spaces that can be used and spare capacity furniture; SLT to be consulted immediately.
Bomb scare or whole school evacuation/lockdown	Low	Low		We will follow the procedures given to us by the Bursar and the Government document on handling bomb threats*
A candidate could not sit one of more of his papers due to Covid	Med	High	All parents have been notified to inform the school immediately if their child begins to show symptoms or needs to self-isolate.	Head of Exams will liaise with Exams boards and follow their guidance.
Candidates unable to attend School because of a crisis	Low	Low		Head of Exams will liaise with Exams boards and follow their guidance.
School cannot open because of a crisis	Low	Low		Associated prep schools have other spaces that can be used and spare capacity furniture. Where necessary awarding body guidance will be sought.
School cannot open because of Covid	Med	Med	The school would only close if the government enforced a national lockdown.	Follow JCQ and the DoE's advice.
Entry or question paper issues.	Low	Low	Question papers checked on receipt to match entry, timetable and expectation.	Head of Examinations will follow JCQ and awarding body guidelines.
Head of Examinations absent/leaves with short notice	Low	Low	Members of the Examinations team have the keys and codes for the safe and are aware of all procedures. All members of the department are always briefed on the daily running of exams and regular training is carried out.	Examinations team will run the exams with the support of the Deputy Head (Academic).

Risk	Risk Level	Likelihood of Risk	Control Measures	Emergency/Contingency Plan
Conflict of interest between the Head of Exams/Deputy Head (Academic)	Med	Low	Deputy Head's role as line manager will be replaced by another member of SLT; keys to the secure storage will be taken away and unnecessary access will be removed. Head of Exams will remain in role but will relinquish the keys to the secure storage. They will have a member of the Exam Team with them when dealing with any confidential material and all admin tasks will be double checked by a colleague.	If regulations are breached, the Head of Centre will be immediately informed and they will take the necessary action (including notifying the awarding bodies).
SENCO absent at a key point in the cycle or for an extended period of time	Low	Low	The Learning Support Department is made up of several members of staff who are all aware of procedures and trained.	Another member of the LS team will assist the Examinations team and Deputy Head (Academic)
Teaching staff or Head of Department absent at key point in the cycle	Low	Low	Ensure the second in department is aware of procedures and deadlines.	All members of the department will work to cover the absence with the support of the Deputy Head (Academic).
Head of Centre not available	Low	Low	Deputy Head (Academic) works closely with Head of Centre	Deputy Head (Academic) will assume the role of the Head of Centre.
Disruption of teaching time – centre closed for extended period	Low	Low		School and associated prep schools have other spaces that can be used and spare capacity furniture. Where necessary awarding body guidance will be sought.
Disruption in distribution/transportation of Examination papers	Low	Low	Awarding bodies will provide guidance on what to do if they are unable to deliver exam papers within the required window. If there are disruptions with the 'yellow label' service or DHL, we will contact the exam boards and seek advice	Awarding body would usually provide electronic copies of the papers and we would need to print them in a secure manner according to their guidelines. Head of Exams will call the relevant awarding body to seek advice.
Results available day before	Low	Low	Exam staff are aware of the regulations and IT lock down the MIS from other staff.	IT will lock down other staff access to the system.
Head of Exams unavailable on results day	Low	Low	Members of the Exams team trained in what procedures are required on pre-results day and results day.	Exams team, IT department and Deputy Head (Academic) work together to complete the necessary tasks and ensure all is ready for results release.

Risk	Risk Level	Likelihood of Risk	Control Measures	Emergency/Contingency Plan
Contingency day planning	Low	Low	All candidates have been notified that they must be available up to and including Wednesday 29th June 2022 .	If need be, exams will be run on Wednesday 29th June 2022 as per the awarding body's advice.
Cyber-security incident	Low	Low	We have moved all files onto OneDrive and SharePoint	OneDrive is secure and can be accessed by us from home so, in an emergency, files can be accessed and moved if required.

* <https://www.gov.uk/government/publications/bomb-threats-guidance/procedures-for-handling-bomb-threats>

Head of Examinations
September 2021
To be reviewed September 2022